

FORM ETO-1390 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER 71971-140
		U.S. APPLIC. NO. if known, see 37 CFR 1.5 Not yet assigned 107526964
INTERNATIONAL APPLICATION NO PCT/JP03/11465	INTERNATIONAL FILING DATE September 8, 2003	PRIORITY DATE CLAIMED September 10, 2002
TITLE OF INVENTION OPTICAL PICKUP		
APPLICANTS FOR DO/EO/US Shinichi HAMAGUCHI, Masahiko NISHIMOTO, Tatsuya NAKAMORI, Yasuyuki KOCHI		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.		
2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.		
3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. <input checked="" type="checkbox"/> The US has been elected (Article 31).		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)		
6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).		
7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendment has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made.		
8. <input type="checkbox"/> An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
10. <input type="checkbox"/> An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11. to 16. below concern other document(s) or information included:		
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input checked="" type="checkbox"/> A preliminary amendment.		
14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.		
15. <input type="checkbox"/> A substitute specification.		
16. <input type="checkbox"/> A power of attorney and/or change of address letter.		
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.		
18. <input checked="" type="checkbox"/> Front page of the published International Application		
19. <input checked="" type="checkbox"/> Forms PCT/ISA/210, 220		
20. <input checked="" type="checkbox"/> Other items or information. PCT/IPEA/402, 408, 409, 416 PCT/IB/301, 304, 306, 308, 332 PCT Request		

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		CALCULATIONS PTO USE ONLY		
21. <input checked="" type="checkbox"/> The following fees are submitted:				
<input checked="" type="checkbox"/> Basic National Stage Fee		\$300 \$300.00		
22. <input checked="" type="checkbox"/> Examination Fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)		\$100 \$200		
All other situations		\$200.00		
23. <input checked="" type="checkbox"/> Search Fee Search fee (37 CFR 1.445(1)(2)) has been paid on the international application to the USPTO as an International Searching Authority		\$100 \$400		
International Search Report prepared and provided to the Office		\$500		
All other situations		\$400.00		
TOTAL OF 21, 22 and 23 =		\$900.00		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		\$		
Total Sheets	Extra Sheets	Number of each additional 50 or fraction Thereof (round up to a whole number)	RATE	
-100	/50=		X\$250	\$
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$		
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE
Total Claims		14-20 =		x \$50.00 \$
Independent Claims		3-3 =		x \$200.00 \$
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$360.00
TOTAL OF ABOVE CALCULATIONS =			\$1260.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		\$		
SUBTOTAL =		\$1260.00		
Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		+	\$	
TOTAL NATIONAL FEE =		\$1260.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+	\$40.00	
TOTAL FEES ENCLOSED =		\$1300.00		
		Amount to be refunded	\$	
		Amount to be charged	\$1300.00	
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u>. A duplicate copy of this sheet is enclosed.</p>				
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>				
<p>SEND ALL CORRESPONDENCE TO: Customer Number 20277 Telephone: 202.756.8000 Facsimile: 202.756.8087</p>				
<p>SIGNATURE Michael E. Fogarty NAME 36, 139 REGISTRATION NUMBER</p>				